

**National Stock Exchange Of India Limited**

NSE/COMP/1125/2025-03/19073

April 02, 2025

**TO WHOMSOEVER IT MAY CONCERN**

Dear Sir/Madam,

Subject : Status report in respect of BHARATHI SHARE BROKING PRIVATE LIMITED

With reference to your application regarding Empanelment with Banks and Other Financial Institutions, we would like to inform that your status as per the Exchange records as on 31-MAR-2025 is as follows:

Particulars	Details	
Segment	SEBI Registration No	Date of Enablement
Capital Market	INZ000282730	12-JUL-1996
Futures and Options	INZ000282730	12-DEC-2012
Currency Derivatives	INZ000282730	04-SEP-2013
Debt	INZ000282730	13-MAY-2013
Dues pending (Dues that are more than Rs. 1,00,000 in value and overdue for more than one month)	NSE : Rs. 0	
Investor Grievance cases pending (number and value)	Number: 0 Rs. 0	
Arbitration in progress (number and value) *	Number: 0 Rs. 0	
Arbitration awards in favour of investors pending implementation /challenged in court *	Number: 0 Rs. 0	
Disciplinary Action taken by the Relevant Authority of the Exchange (in last one year) other than reprimand/advise/warning/Monetary Penalty **	no	
Whether suspended during the last 3 years	no	

Yours faithfully,

For National Stock Exchange of India Limited

Authorized Signatory

**Disclaimer:** Please note that the above information is given based on the records available with the Exchange and shall not be construed as making any recommendation in any manner.

\* Value exceeding Rs. 2, 00,000 are only covered.

\*\* Monetary penalties are displayed on Exchange website (<https://www.nseindia.com>>Regulations>Member>Action Against Member)

# Unresolved complaints exceeding 60 days and total amount more than Rs.10 lacs or unresolved complaints exceeding 60 days and more than 10 complaints or unresolved against the trading member for more than 3 months